2 3 4

i

CONTRACT BETWEEN THE COUNTY OF LAKE AND THE LAW OFFICE OF PEKIN & PEKIN FOR INDIGENT DEFENSE SERVICES

This Contract is made and entered into <u>14th</u> day of February, 2017, by and between the COUNTY OF LAKE, a political subdivision of the State of California, hereinafter referred to as COUNTY, and the Law Office of Pekin & Pekin, hereinafter referred to as CONTRACTOR. Terms and conditions of this contract are as follows:

SECTION 1 CONTRACTOR'S RESPONSIBILITIES

- A. <u>Scope of Work:</u> CONTRACTOR hereby agrees to provide competent and adequate legal representation as appointed counsel in the defense of Gary Williams, Case number CR939372.
- B. <u>Standard of Legal Representation:</u> CONTRACTOR'S representation shall be of a kind usually and customarily provided by a reasonably competent attorney providing legal defense service in criminal cases. Such complete legal defense services shall include, without limitations, all necessary court appearances for motions, trials, adjudications, hearings, dispositions and sentencing; the preparation of writs, legal research and trial preparation; the necessary support services, including, without limitation, paralegal and clerical support services; necessary ancillary services which are more fully set forth in Subsection "C" of this Section.

CONTRACTOR shall provide for the maintenance of quality legal representation consistent with constitutional and professional standards. Federal and state constitutions require provision of competent counsel in criminal cases. In California, the adopted test for determining competency of counsel in criminal cases is that of a "reasonably competent attorney acting as a diligent, conscientious advocate." (See_People v. Pope, 23 Cal.3d 412 (1979) disapproved on a different ground in People v. Berryman (1993) 6 Cal.4th 1048, 1081, fn. 10, overruled on a different ground in People v. Hill (1998) 17 Cal.4th 800, 823.); see also In re Scott, 29 Cal. 4th. 783 (2003); People v. Bennett, 17 Cal.4th 373 (1998).

CONTRACTOR shall provide competent legal services in conformity with the above standard. Specifically, the following duties and responsibilities of counsel as set forth in prior court decisions and professional standards will be observed. These include:

- (a) Duty of careful factual and legal investigation. (*In re Saunders*, 2 Cal.2d 1033(1970), holding modified on other grounds by *People v. Duvall*, 9 Cal.4th 464 (1995) This includes the duty to research the law and raise settled objections. (See also ABA Standards for Criminal Justice: Prosecution and Defense Function, 3d ed., ©1993, Defense Function, Part One, General Standards (hereinafter referred to "ABA Defense Function General Standards"), Section 4-4.1.)
- (b) Duty to take prompt action to protect a client's legal rights. (ABA Defense Function General Standards, Section 4-3.6. [includes procedural steps such as moving for pretrial release, obtaining psychiatric examination, moving for change of venue or continuance, suppression of illegally obtained evidence, severance from jointly charged defendants, or dismissal.])

- 1 (c) Duty to keep the client informed. (ABA Defense Function General Standards, Section 4-3.8.)
 3 (d) Duty to prepare for jury selection, examination of witnesses, submission of
 - (d) Duty to prepare for jury selection, examination of witnesses, submission of instructions and presentation of argument at trial. (See <u>ABA Defense Function General Standards</u>, Section 4-7.2, 4-7.6, 4-7.7; Amsterdam, <u>Trial Manual for the Defense of Criminal Cases</u>, 3 Ed., 1974.)
 - (e) Duty to know and explore sentencing alternatives. (ABA Defense Function General Standards, Section 4-8.1.)
 - (f) Duty to advise concerning appeals. (ABA Defense Function General Standards, Section 4-8.2.)
 - (g) Duty not to accept more cases than can be competently handled. (See <u>Martin</u> v. State Bar, 20 Cal.3d 717 [1978].)
 - (h) Duty not to handle a legal matter which the lawyer knows or should know that he/she is not competent to handle. (ABA, Code of Professional Responsibility, Canon 6, Disciplinary Rule No. 6-101(a).)
 - (i) Duty to maintain client confidences and secrets.
 - (j) Duty to maintain regular and meaningful client contact.
 - C. <u>Ancillary Services:</u> CONTRACTOR shall provide such ancillary and supportive services as may be necessary to provide adequate representation, including, but not limited to, investigative services, polygraphs, expert witnesses, psychological evaluations, interpreter services, transcripts, forensic and laboratory services, court appearance clothing for the defendant and such other services properly required by CONTRACTOR designed to assist in the preparation and presentation of the indigent defendant's case. Said ancillary services shall be provided on a fee for services basis, paid by COUNTY, pursuant to prior Court authorization, which CONTRACTOR shall be obligated to obtain prior to engaging and utilizing said ancillary services.
 - D. <u>Minimum Professional Qualifications:</u> CONTRACTOR shall ensure that all attorneys performing legal services under this Contract are members of the State Bar in good standing. CONTRACTOR shall maintain ongoing communications with the local Bar Association and other interested professional groups to assure that CONTRACTOR's operations meet the established professional standards for adequate representation.

SECTION 2 COUNTY'S RESPONSIBILITIES

COUNTY agrees to compensate CONTRACTOR for services under this Contract, including travel time from Fort Bragg to Lake County, at the rate of \$80 per hour for the first \$40,000. After the \$40,000 threshold has been met, compensation shall be paid at a rate of \$80 per hour not to exceed \$10,000 per month. Said travel from the Law Office of Pekin & Pekin in Fort Bragg to the Courthouse in Lakeport will be subject to a limit of <u>four and one-half (4.5)</u> hours for each required round trip.

45 ///

46 ///

CONTRACTOR shall submit an invoice to the COUNTY's Administrative Office for the above hourly charges on or about the last day of each month. Said compensation shall be paid not later than the fifteenth day after the invoice date.

SECTION 3 TERM

The term of this Contract shall commence on the date first written hereinabove and shall continue until terminated as provided herein.

SECTION 4 TERMINATION

It is mutually understood that CONTRACTOR's representation of Gary Williams may only be terminated by court order, however, this Contract may be terminated as follows:

- 1. Immediately upon removal of CONTRACTOR by court on the basis of affidavit, declaration or judicial determination (so called Marsden Motion); or
- 2. By Court Order; or
- 3. Upon disposition, by either sentencing, acquittal or dismissal, in the Lake County Superior Court; or
- 4. By the mutual consent of the parties.

SECTION 5 INSURANCE

CONTRACTOR shall not commence work under this Contract until he has obtained all the insurance required herein, certificates of insurance have been submitted to County and said insurance has been approved by County. The certificates of insurance shall contain a provision that coverage afforded under the policies will not be canceled until at least twenty (20) days prior written notice has been given to County.

CONTRACTOR shall not allow any subcontractor to commence work on his subcontract until the insurance required of the subcontractor has been obtained. Any failure of CONTRACTOR to maintain the insurance required by this paragraph, or to comply with any of the requirements of this paragraph, shall constitute a material breach of the entire Contract.

Certificates evidencing the issuance of the following insurance shall be filed with the County within ten (10) days after the date of execution of this Contract by CONTRACTOR:

(a) Workers' Compensation Insurance. CONTRACTOR shall procure and maintain, at CONTRACTOR's own expense during the term hereof, Workers' Compensation Insurance for all of his employees to be engaged in work. In case of any such work sublet, CONTRACTOR shall require subcontractor similarly to provide Workers' Compensation Insurance for all of the latter's employees to be engaged in such work unless such employees are covered

by the protection afforded by CONTRACTOR's Workers' Compensation Insurance.

- (b) <u>Automobile Liability Insurance</u>. CONTRACTOR shall procure and maintain, at CONTRACTOR's own expense during the term hereof, Comprehensive Automobile Liability Insurance, both bodily injury and property damage, on owned, hired, leased and non-owned vehicles used in connection with CONTRACTOR's business in an amount of not less than <u>One hundred thousand dollars</u> (\$100,000) per person and <u>Three Hundred Thousand</u> (\$300,000) aggregate.
- (c) <u>Subcontractors</u>: CONTRACTOR shall include all subcontractors as insureds under the aforesaid policies or shall furnish separate certificates and endorsements for each subcontractor to COUNTY for review and approval. All coverages for subcontractors shall be subject to all of the requirements hereinabove and contain the additional insured endorsement required by CONTRACTOR hereinafter.
- (d) For claims arising out of the performance of services under this Contract, the CONTRACTOR's insurance coverage shall be primary insurance as respects the COUNTY, its officers, officials, employees, agents and volunteers. Any insurance or self-insurance maintained by COUNTY, its officers, officials, employees, agents or volunteers shall be excess of the CONTRACTOR's insurance and shall not contribute with it.
- (e) Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve the CONTRACTOR for liability in excess of such coverage, nor shall it preclude COUNTY from taking other actions as is available to it under any other provision of the Agreement or law. Failure of COUNTY to enforce in a timely manner any of the provisions of this section shall not act as a waiver to enforcement of any of these provisions at a later date.

SECTION 6 INDEMNIFICATION AND HOLD HARMLESS

CONTRACTOR shall indemnify and defend COUNTY and its officers, employees, and agents against and hold them harmless from any and all claims, losses, damages, and liability for damages, including attorney's fees and other costs of defense incurred by COUNTY, whether for damage to or loss of property, or injury to or death of person, including properties of COUNTY and injury to or death of COUNTY officials, employees, or agents, arising out of, or connected with CONTRACTOR'S operations hereunder or in the performance of work described herein, unless such damages, loss, injury or death is caused solely by the negligence of COUNTY.

/// 47 *///*

48 ///

1 2

3 4

13 14 15

16

17

25

34 35 36

37

38

39

33

40 41 42

43 44

45 46 47

48

SECTION 7 ASSIGNMENT

CONTRACTOR shall not assign any interest in this Contract and shall not transfer any interest in the same without the prior written consent of COUNTY, except that claims for money due or to become due CONTRACTOR from COUNTY under this contract may be assigned by CONTRACTOR to a bank, trust company, or other financial institution without such approval, written notice or any such transfer shall be furnished promptly to COUNTY. Any attempt at assignment of rights under this contract except for those specifically consented to by both parties or as stated above shall be void.

SECTION 8 INDEPENDENT CONTRACTOR

In performing the duties herein specified, CONTRACTOR is and shall be an independent contractor and not an officer or employee of COUNTY. As an independent contractor, CONTRACTOR will be responsible to COUNTY only for the good faith performance of this Contract in conformity with the Rules of Professional Conduct of the State Bar of California and not for the specific manner in which his duties are performed or his professional judgment exercised. Because he is an independent contractor, it shall be his sole and absolute responsibility to comply with all federal, state and local statutes, regulations and ordinances respecting this Contract.

CONTRACTOR, as an independent contractor, shall be solely responsible for providing all office furniture, equipment, supplies, secretaries, clerks, investigators, experts and other persons necessary to perform this Contract. CONTRACTOR shall be solely responsible for all costs and expenses incurred in performing this Contract, including any professional education and training expenses, except for defense-related costs paid or reimbursed under Section ONE above and except for any court reporter fees, filing fees, transcript fees, and witness fees that may be paid out of the Courts' budgets.

SECTION 9 MODIFICATION

This Contract may only be modified by a written amendment hereto, executed by both parties, however, matters concerning scope of services which do not affect the agreed price beyond what is allowed herein, may be modified by mutual written consent of CONTRACTOR and COUNTY executed by the COUNTY Administrative Officer.

SECTION 10 NON-DISCRIMINATION IN EMPLOYMENT

In the performance of the work authorized under this contract, CONTRACTOR shall not unlawfully discriminate against any qualified worker because of race, religious creed, color, sex, national origin, ancestry, physical disability, mental disability, medical condition, marital status, or age (over 40).

SECTION 11 ATTORNEY'S FEES AND COSTS

If any action at law or in equity is necessary to enforce or interpret the terms of this contract, the prevailing party shall be entitled to reasonable attorney's fees, costs, and necessary disbursements in addition to any other relief to which such party may be entitled.

SECTION 12 INTEREST OF CONTRACTOR

CONTRACTOR hereby covenants that he has, at the time of the execution of this contract, no interest, and that he shall not acquire any interest in the future, direct or indirect, which would conflict in any manner or degree with the performance of services required to be performed pursuant to this contract. CONTRACTOR further covenants that in the performance of this work, no person having any such interest shall be employed.

SECTION 13 SEVERABILITY

If any provision of this Contract is held to be unenforceable, the remainder of this Contract shall be severable and not affected thereby.

SECTION 14 VENUE

Any controversy or claim arising out of or relating to this Contract which cannot be amicably settled without court action shall be litigated in a state court for Lake County, California. The rights and obligations of the parties and all interpretations and performance of this Contract shall be governed in all respects by the laws of the State of California.

SECTION 15 NOTICES

All notices that are required to be given by one party to the other under this Contract shall be in writing and shall be deemed to have been given if delivered personally or enclosed in a properly addressed envelope and deposited with a United States Post Office for delivery by registered or certified mail addressed to the parties at the following addresses, unless such addresses are changed by notice, in writing, to the other party:

COUNTY:
Administrative Office
255 N. Forbes St
Lakeport, CA 95453
Attn: Carol J. Huchingson

CONTRACTOR Law Office of Pekin & Pekin 123B Laurel Street Fort Bragg, CA

1 **SECTION 17** 2 OTHER PROVISIONS 3 4 **REIMBURSEMENTS:** COUNTY shall receive all funds collected pursuant to Penal 5 Code §§ 987.4, 987.6, 987.8, 987.9, Government Code § 27712 and 15202, and any similar 6 statute providing for reimbursement for the cost of legal services rendered under this 7 Contract, and no portion of said funds shall inure to the benefit of CONTRACTOR or 8 otherwise affect the amount specified in Section TWO above. CONTRACTOR shall 9 cooperate with and provide information to COUNTY'S Auditor-Controller, or his or her 10 designee, or when appropriate to COUNTY's Administrative Officer, or his designee, upon 11 reasonable request, in order to assist COUNTY in claims for State and/or Court 12 reimbursement of COUNTY'S costs of providing indigent criminal legal defense services 13 pursuant to this Contract. CONTRACTOR shall not be required to reveal information which 14 is privileged or which may otherwise compromise the defense of a pending case. 15 16 This Contract constitutes the entire Contract between CONTRACTOR and COUNTY B. 17 with respect to the subject matter hereof and supersedes all previous negotiations, 18 proposals, commitments, writings, advertisements, publications and understandings of any 19 20 nature whatsoever unless expressly included or incorporated in this Contract. 21 22 Executed at Lakeport, California, on the day and year first above written. 23 24 25 COUNTY OF LAKE CONTRACTOR 26 27 28 29 Pekin & Pekin 30 Carol J. Huchingson 31 County Administrative Officer Attorney at Law 32 33 34 APPROVED AS TO FORM: 35 ANITA L. GRANT 36 County Counsel 37 38 39 40